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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

		Complete if Known	
Application Number		09/380,739	
Filing Date		December 15, 1999	
First Named Inventor		Josef Otto RETTENMAIER et al.	
Group Art Unit		1751	
Examiner Name		Lorna M. Douyon	
Attorney Docket Number		H4165/PCT/US	

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	CLASS	SUB- CLASS	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ³ (if known)	Number-Kind Code ³ (if known)					
dmh		3,490,742		01/20/1970	Nichols et al.	424	464	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	CLASS	SUB- CLASS	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Number-Kind Code ³ (if known)						
dmh		DE 2 263 939 (relates to GB 1,423,536)		07/11/1974	Henkel & Cie. GmbH	—	—		
		DE 195 00 936 A1		07/18/1996	Weber	—	—		
↓		GB 1,423,536		02/04/1976	Henkel & CIE. GmbH	—	—		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
dmh		“Untersuchungen über Kompaktierung und Tröckengranulierung,” <i>Pharmaceutica Acta Helveticae</i> , vol. 41, 1965 (Oct. 7, 1965).							
		Von E. Nürnberg, “Experimentelle Prüfungen von direct verpreßten Tablettengrundlagen”, <i>Pharm. Ind.</i> , vol. 34, no. 3, pp. 193-206 (1972).							
		Von Engelbert Graf, “Cellulose, ein wertvoller Hilfs – und Rohstoff für die Arzneibereitung” <i>Deutsche Apotheker Zeitung</i> , vol. 118, no. 43, pp. 1625-29 (Oct. 26, 1978).							
		Size Conversion Table (U.S. Mesh, Tyler Mesh, Inches, and Microns). <i>No date available</i> .							
		<i>Ullmanns Encyklopädie der technischen Chemie</i> [Ullmann's Encyclopedia of Technical Chemistry], vol. 22, pp. 467-468 (4th ed. 1975).							
↓		Versuchsbericht über das Nacharbeiten von US-Patent Nr. 4,269,859 zur Bestimmung des Schüttgewichtes des verdichteten Cellulosegranulats, <i>No date available</i>							

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Examiner Signature	Date Considered
<i>Lorna M. Douyon</i>	3-26-03

Form PTO 1449

Patent and Trademark Office – U.S. Department of Commerce



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				Examiner Name	Lorna M. Douyon
Sheet	1	of	1	Attorney Docket Number	
155*332					

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Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number-Kind Code ² (if known)			Sub - Class	
John		5,006,345	04/09/1991	Lang	424	467
		5,411,673	05/02/1995	Agar et al.	252	186,27
		5,783,545	07/21/1998	Paatz et al.	510	305
		6,294,509	09/25/2001	Meiwa et al.	510	130

FOREIGN PATENT DOCUMENTS

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